"Prothetic h-" in Khotanese and the reconstruction of Proto-Iranic

1. Introduction: Khotanese

Khotanese or Kh. Saka = Eastern Middle Iranic language from Khotan/Hotan, Uyghur خوتهن Hotan,

Chin. 和田 Hétián, southern Tarim Basin; native name Hvatanai

Written in South Turkestan Brahmi, over 2300 mainly Buddhist texts, 4th-11th century CE

Closest relative: Tumshuqese Saka in NW Tarim Basin (close to Tocharian B);

scanty remains (15 texts) in N Turkestan Brahmi, slightly more archaic

Next surviving relative (but rather remote): Wakhi in S Pamir region (\sim 420-720 km W of Hotan) common Old Iranic isoglosses: PIIr. * $\acute{c}w > *\acute{s}(\acute{s})$ (vs. old * $\acute{s} > \~{s}$); PIr. * $\acute{c}y$ not simplified to * $\acute{s}y$ lexical: Khot. daha- 'man' = W. δay

Wakhi did not participate in many innovations (no lenition of voiceless obstruents)

2a. Some Khotanese etymologies

1) haḍa- 'dress'

Bailey 1979: 447 "From *arta- with the frequent prothetic h- to base ar- 'to fit'"

2) hays- 'to drive, conduct, send'

Emmerick 1968: 148 "< 0.Ir. *az- (with <u>prothetic</u> h-)"; Bailey 1979: 465 "az- 'drive, conduct', without h- in $h\bar{n}a\bar{y}sa$ - 'commander of a troop' (*hainā-āza-)"; Cheung 2007: 172 ("with sec. h-?")

3) hīs- 'to come'

Emmerick 1968: 148 " $h\bar{i}s$ -/ $\bar{a}ta$ - suppletive like Man. Sogd. 'ys-/''yt- ... < * \bar{a} -isa- ... Khot. $h\bar{i}s$ - ... likely the same origin (with <u>prothetic</u> h-) ... $\bar{i}s$ - 'to return' ... < \bar{a} -isa- ... inch. < * $ai\bar{s}$ - and $h\bar{i}s$ - < * \bar{a} -isa- ... inch. < *ay'go' ..., the <u>prothetic</u> h- developing to enable the two verbs to be distinguished"; Cheung 2007: 155 "with sec. h-" (only continuant of i- 'to go')

4) huss-'to grow'

Emmerick 1968: 154 "< *uxša- < *vaxš-"; Bailey 1979: 494 "From base vaxš- : uxš- with prothetic h-, Av. vaxš-, uxš-"

Implication: Vowel-initial words can (but need not) have "prothetic" h- in Khotanese

"Mehrfalls kommt ein 'cockney' h vor anfangendes a, während altes h wegfallen kann" (Konow 1941: 15) "More common is the addition of h- (Bailey 1953: 538)

"Prothetic h- is frequent in Khotanese" (Bailey 1959: 74); cf. Herzenberg 1965: 53; 1981: 247

Vowel-initial words = words beginning with a vowel in other Iranic languages, most importantly the oldest one, i.e. Avestan, and Sanskrit as the best attested early IIr. language

2b. Typological background

Prothetic = secondary h- typical for "Cockney situations", i.e. languages with variation between (original) h- and (innovative) loss of h- \Rightarrow hypercorrection

E.g., (Archaic and written) Latin vs. spoken and Vulgar Latin, resulting in:

- Lost h- in $\bar{a}nser$ for $*h\bar{a}ns^\circ < *g^hans$ (Weiss 2009: 153), $ar\bar{e}na$, aedus, ircus; h irrelevant for metre and rhotacism; general loss in Romance
- Hypercorrect h- in haurīre, humerus; ahēnus (Weiss 2009: 152f.)

Applicable to Khotanese?

Khotanese *h*- is a phoneme, cf. minimal pairs:

hīs- 'to come' : īs- 'to return'; ha- 'forth' : a- 'un-'; hāna- 'vessel' : āna- 'sitting' ...

3a. The usual correspondences

1. Khotanese h-

Khotanese		Avestan	Sanskrit	(Middle) Persian	other
haṃ-	together	hąm-, həm-	sam	(h)an-	
hama-	same	hama-	samá-	ham	
hamāna-	summer	ham-	sámā-	hāmīn	
hastama-	best	hastəma-			Bactr. υασταμο hastam
hī	bridge	haētu-	sétu-		Oss. D. xed
hīnā-	army	haēnā-	sénā-	hēn	
hu-	good	hu-	su-	hu-	
huṣka-	dry	huška-	śúṣka-	hušk	
hūṣ-	to dry	haōša-	śoṣa-	hōš-	
hauda	seven	hapta	saptá	haft	
ha-	for-, forth-	fra-	pra-	fra-	also in many compounds

 \Rightarrow Khot. h- < *f-- < *f-- < *f-- (vs. regular f-- < *f-- < *f-- , cf. f-- , cf. f-

2. Khotanese Ø-

Khotanese		Avestan	Sanskrit	(Middle) Persian	other
aysu	I	azəm	ahám	an	Parth. az
aśtä	is	asti	ásti	ast	
aśśa-	horse	aspa-	áśva-	as- (asp)	
āljs-	to sing		árca-		
āska-	fast, deer	āsu-	āśú-	āhūg	
ula-	upright	ərəδβα-	ūrdhvá-	ul	
ustama-	highest		uttamá-		
ūri	belly	udara-	udára-		Khwar. ' <i>wδÿr</i>
ora-	cloud	aβra-	abhrá-	abr	
ī	his, her	hōi/hē ~ šē		(-š)	Khwarh(i)
īndä	they are	həṇti	sánti	(hēnd)	
ajs-	to pursue		saj-	OP haj-	

[⇒] Khot. Ø- < *Ø-; exceptionally (mostly in clitics): Ø- < *h- < *s-

Only one good non-clitic example of lost h-: Late Khot. $ajs\bar{a}m$ 'we pursue' (?) < * $ajs\bar{a}m\ddot{a}$ < * $haj\bar{a}mah$ cf. Bailey 1953: 537 with the remark "This is to accept loss of initial h-" but his second example $\bar{a}r\ddot{a}$ < *harra- must be rejected (see Emmerick & Skjærvø 1987: 165f.)

3b. A third set of correspondences

Khotanese		Avestan	Sanskrit	(Middle) Persian	other
haṃguṣṭa-	finger	aṇgušta-	aṅguṣṭhá-	angust	
haḍa-	dress				Arm. arta-
hana-	blind	aṇda-	andhá-		Parth. hand
handara-	interior	aṇtara-	ántara-	(andar)	
handāra-	other	aṇtara-	ántara-		Bactr. ανδαρο andar
hays-	drive	aza-	ája-		
harraa-	saw			*arrag > NP arra ^h	
hala-, hālaa-	side, half	ar³δa-	árdhá-	ārag (Fars hal)	
haṣṭa	eight	ašta	așțấ	hašt	analogy to '7'?
haṣṭa-	vast < reached		aṣṭá-		
haska-	tusk	-asūra-	(aṃśú-?)		Oss. D. ænsur(æ)
hāma-	raw		āmá-	xām	Pashto <i>um,</i> Sogd. <i>xām</i>

häysä hära- hälśtä hīśśana- hīs- hīha-	hide, leather thing; wealth spear iron to come hearth smoke	izaēna- aršti- (i-) aēsma- 'fuel'	 rṣṭí- (i-) édha-	xīg xīr *xišt āhun (āy-) hēsm/ēsm ēmag/*hēmag	Oss. D. <i>xizæ</i> Parth. <i>īr</i> Armen. <i>ašteay</i> Parth. <i>āsun</i> Sogd. <i>'ys-</i> , Parth. <i>ās-</i>
hurā	thigh	-uru-	ūrú-		
hulga-	soft, mild		ṛdu-		
huṣṣ-	to grow	uxš-	ukș-		Sogd. <i>əx^wš-</i>
		Uxšiiaţ.ər²ta-		Hušīdar	
hū-dva-	both	uba-/uua-	ubhá-		
hau	word	ad-	a(d)h-		
hau'	oats (?)		óṣadhi-?	hōšag, *xōšag 'ear'	Kurd. <i>ûşî</i>
h(u)ve' hva'nd-	mortal, man	aōšaŋ ^v hắ aōšaŋ ^v haṇt-	oṣa-	hōš, ōš	Parth. oš

Correlation with Persian ("prothetic") *h-, x-*?

3c. A similar phenomenon in Persian

Khotanese		Avestan	Sanskrit	(Middle) Persian	other
	narrow		aṃhú-	hanzūg	Parthian <i>anžug</i>
	thill, plough	aēš(a)-	īṣấ-	hēš, *xēš, ēš	
	ashes		ā́sa-	xāk	Kurd. ax
āha-	egg	aēm		xāyag	Oss. D. ajkæ
	brick	ištiia-	íșțakā-	xišt	OP <i>išti-</i>
arrä	bear	arša-	ŕkṣa-	xirs	Khwar. hls
	anger	aēšma-	(eṣá-)	xēšm, ēšm	Parth. <i>ēšmag</i>

Middle and New Persian but not Old Persian

3d. Correspondences in a dataset of Khotanese words

	Khot.	MP	elsewhere	PIr.	Skt.	min.	max.
1	h	h	h	*h < *s	S	37	
2	h	h/x	Ø	* <u></u> h	Ø	10	21
	h	Ø	Ø	*ḥ?	Ø	4	15
	h	?	Ø	*ḥ?	Ø	11	
	h		Ø	* <u></u> h?	Ø	25	
	Ø	h/x	Ø	*ḥ?	Ø	5	12
	?	h/x	Ø	* <u></u> h?	Ø	6	
		h/x	Ø	*ḥ?	Ø	21	
3	Ø	Ø	Ø	*Ø	Ø	21	38
	Ø	?	Ø	*Ø	Ø	17	

Type 2 points to different PIr. consonant *h-

4a. The source of *h

MP/NP h-/x- < PIE * h_2 [χ] Cf. Kümmel 2016: 83; 2018: 166

Independent evidence for segmental *h (< * h_2) in Proto-Iranic: Devoicing of voiced obstruents (not in IA)

YAv. $mas-\sim maz\bar{a}-<*matsh-\sim *madzah-<*maj-h-\sim *maj-ah-<*meg-h_2-\sim *meg-a/oh_2-$ Av. $n\bar{a}f-\sim nab\bar{a}-<*n\bar{a}ph(i)-\sim *nabah-<*n\bar{a}b-h(i)-\sim *nab-ah-<*h_3n\acute{o}b^h-h_2-\sim *h_3neb^h-ah_2-$

CIr. * θ aiwar- < *thaiwar- < *tdahiwar- < *t

CIr. * θ au- < *thau- < *dhau- < *dahu-/duh- = ved. davⁱ-/dū- < *dah₂u-

⁼ dialectal difference, cf. OP *u-*, *uv-* vs. MP *hu-*, $x^w- < *hu-$, hw- < *su-, *sw-

Cf. Kümmel 2016: 82f.; 2018: 165f.

 \Rightarrow Hypothesis: Khot. h- < PIr. = PIIr. *h- < PIE *h2- with preservation in marginal varieties elsewhere lost before *s > h

Marginal	Central	PIr.	Skt.	PIE	Hittite
h	h	*h < *s	S	*S	S
h/x	Ø	*ḥ	Ø	$*h_2$	h
Ø	Ø	*Ø	Ø	*h3	h
Ø	Ø	*Ø	Ø	*h1. *Ø	Ø

4b. Evalutation data 1: etymological overview of *h-words

Khotanese		(Middle) Persian	PIr.	Hittite	Western IE	PIE
haḍa-	dress		*ḥərta-		*ar-	*h2ar-?
hana-	blind	(Parth. hand)	*ḥanda-		*and ^h -	*h₂and ^ĥ -
handăra-	other		*ḥantara-		*antero-	*h₂an-tero-
handara-	interior	andar	*ḥ?antara-	an-	*en-	$*(h_1)$ entero-
haṃguṣṭa-	finger	angust	*ḥangu-šta-		*ang-	*h₂ang-
hays-	drive		*ḥadza-		*ag-	*h₂aĝ-
harraa-	saw	* $arrag > NP arra^h$	*ḥərnaka-			*Hṛno-
hala-, hālaa-	side, half	ārag	*ḥarda-			*Herdho-
haṣṭa	eight	hašt	*ḥaštā		*oktṓ	*HoĥtóH
haṣṭa-	vast		*ḥašta-	(henk-?)	*anek-	*h₂nek̂-
haska-	tusk		*ḥa(n)tsuka-		?	*Hanƙ-?
hāma-	raw	xām	*ḥāma-		*ōmo-	*HoHmó-
hära-	thing	xīr	*ḥərya-		*ar-	*h ₂ ṛ-jo-
hälśtä	spear	*xišt	*ḥəršti-	hars-		*h ₂ rs-
häysä	hide, leather	хīg	*ḥidza-		*aig-	*h₂aiĝ-
hīśśana-	iron	āhun	*ḥatswan-		*ak-	*h₂ak̄ -
hīs-	to come		*(fr)ā-isca-?	(i-)	(*ej-)?	*HoH+*h₁ej-
hīha-	hearth smoke	hēsm/ēsm	*ḥaid-ḥa-		*aid ^h -	*h ₂ ajd ^h -
		ēmag/*hēmag	*ḥaidzma-			-
hurā	thigh		*ḥuHru-			?
hulga-	soft, mild		*ḥərduka-			*Hṛdu-
huṣṣ-	to grow	Hušīdar	*ḥuxš-		*auks-	*h ₂ uks-
hū-dva-	both		*ḥuba-	hu-		*h²u-b ^ĥ ó-
hau	word		*ḥadu-			*HEd [^] -?
hau'	oats (?)	hōšag 'ear'?	*ḥawiš-	(hawi-?)		*HEw(?)-
h(u)ve'	mortal, man	hōš	*ḥaušas-		*e/ows-	*h1ews-
	thill, plough	hēš, *xēš, ēš	*ḥaiš(a)-	hissā-	*a/oj-es-	*h ₂ (a)is-
	ashes	xāk	*ḥās-	hass-	*ās-	*h₂aHs-
	narrow	hanzūg	*ḥandzu-	hamank-	*ang ^ĥ u-	*h₂amĝ ^ĥ -
	brick	xišt	*ḥišti-			?
āha-	egg	xāyag	*ḥāwya-		*ōwjo-	*h₂ō(H)ujo-
arrä	bear	xirs	*ḥərča-	hartakka-	*artko-	*h²ŕtk̂o-
	anger	xēšm, ēšm	*ḥaišma-		*isa-, *eis-	*h ₁ ejsh ₂ -

 \Rightarrow Very few unexpected h-/x-: PIr. *hauša- 'death', *hayšma- 'anger' + Khot. handara- 'interior', h \bar{i} s- 'to come

4b. Evaluation data 2: counterexamples: Khotanese + MP Ø- < * h_2 -

Khotanese		Avestan	Sanskrit	(Middle) Persian	
aña-	other	ańiia-	anyá-	any	*(h _{2?})anjó-?
aḍāra-	other				*(h _{2?})al-tero-
āce	duck		ātí-		$*h_2(a)nh_2t$ -
āḍa-	flour	aša-	*ārta-	ārd	*h2álh1-to-?
āysda	assured	azdā	addhấ	azd	*HEd [^] -?

āra-	worth	ar ^ə ya-	arghá-	arz(ān)	*h2a/olg ^{wĥ} ó-
ārr-	grind			(Gur. <i>hař-</i>)	*h2ļnh1-
ārra-	arm	ar³ma-	īrmá-	*arm	*h2arH-m-
āljsata-	silver	ər³zata-	(rajatá-)	OP ardata-	*h ₂ ṛgnt-
āṣka-	tear	asru-	áśru-	[ars] (Lari xars)	*h₂ák̂ru-
āstaa-	bone	ast-	ásthi	ast(ag), *xastag	*h2óst-/h2ast-
āhära-	ashes, fire	āθr-/ātər-		ādur	*h₂aHtr-
и	and	utā	utá	ud	*h2u-te
u'ī	intelligence < *ears	ušī		uš, *huš/hōš	*h2(o)us-
oṇa-	strong	aōjah-	ójas-	ŌΖ	*h²áwg(e)s-
os-ku	always < lifetime	āiiū, yaōš	άγu-		*h₂óju

4c. Evaluation of the conflicting evidence

Khot.	MP	PIr.	*h ₂ /H	%	*h ₂	%	*H	%	*h ₁ /Ø	%	*H/h ₁ /Ø	%	Σ
h-	h-/x-		9	90	6	<i>60</i>	3	30	1	10	4	40	10
h-	Ø		3	<i>75</i>	2	50	1	<i>25</i>	1	<i>25</i>	2	50	4
h-	?		11	100	5	<i>45</i>	6	<i>55</i>	0	0	6	55	11
h-			23	92	13	<i>52</i>	10	40	2	8	12	48	25
?	h-/x-		6	100	5	83	1	17	0	0	1	17	6
Ø-	h-/x-		4	80	3	60	1	20	1	20	2	40	5
		*ḥ	33	92	21	<i>58</i>	12	33	3	8	15	42	36
		%	62		62		63		14		38	,	49
Ø-	Ø-		13	62	10	48	3	14	8	38	11	. 52	21
Ø-	?		7	41	3	18	4	24	10	<i>59</i>	14	82	17
		*Ø?	20	53	13	<i>34</i>	7	18	18	47	25	66	38
		%	38		38		37		86		63		51
Σ			53	72	34	46	19	26	21	28	40	54	74

 \Rightarrow Clear correlation of PIr. *h- and (potential) PIE * h_2 - vs. PIr. * \emptyset - and PIE * h_1/\emptyset -

First type of counterevidence (unexpected *h*-) could even be reduced:

- Persian $x\bar{e}\check{s}m$ from $*h_1ejsh_2$ possibly via assimilation $*hajs\chi->*\chi ajs\chi->*hays(h)$ or transposition *aysh->*hays-
- Khot. huve', hva'nd- < $*\bar{o}$ $zahw^\circ$ and Persian $h\bar{o}$ s might have secondary h- from *ausah- > *hausa(h)- Only Khot. handara- 'interior', contradicted by Persian andar and $h\bar{i}s$ 'to come', if < $*\bar{a}$ -isa-, contradicted by Khot. Pers. \bar{a} elsewhere

Second type of counterevidence (unexpected \emptyset) mostly remains. Explanations:

- 1. Different etymologies: $a\dot{q}\bar{a}ra-<*ar-t\bar{a}ra-:*(h_1)al-$ instead of $*h_2al-$ if connected to Anatolian al- 'far' $\bar{a}\dot{q}a-$, $\bar{a}rr-<*\bar{a}-\dot{h}arta-$, $*\bar{a}-\dot{h}arn$ °: would explain $*\bar{a}rta-$ rather than *arta-
- 2. Conditioned loss not yet understood? Often with \bar{a} (but Kortlandt's loss before *o would not help much); possibly clitic: u
- 3. Borrowing from Iranic neighbours (well-attested in Persian): Khot. *arrä* 'bear' (hap. leg.); official terms, trading: *āysda*, *āljsata-*, *āra-*

4d. What about Wakhi?

General loss of h- (as in most of East Iranic), except * $hw > x^w > \check{x}$ [x]: cf. ub 'seven' $< *\bar{a}b < *abd < *ha\beta da < *hafta$; (with prothetic w-:) wask 'dry' $< *usk < *hu\check{s}ka$ -; (with prothetic y-:) yir 'sun' $< *\bar{u}r < *h\bar{u}r$ -, $yu\check{y}d$ 'groin, perineum' < *ayd < *haxtLikewise, no *h- < *ḥ-*, cf. *yangl* 'finger' < *ḥanguri-; *yišn* 'iron' < *ḥacwanya-

⇒ ambiguous

5. Conclusion

Khotanese "prothetic" h- may in fact go back to preserved "laryngeal", parallel to Persian h-/x- \Rightarrow reconstruction of Proto-Iranic *h lost in most branches but retained in marginal varieties Corroborating other evidence for long preservation of *h

Khot. h- (= \emptyset -) good predictor for PIE $*h_2$ - (vs. $*h_1/h_3/\emptyset$) but Khot. \emptyset - no good predictor against $*h_2$ -

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